

Wednesday, November 16, 2022  
1:00 – 6:30 p.m. PT

## K&L GATES SAN FRANCISCO ASSET MANAGEMENT AND INVESTMENT FUNDS CONFERENCE

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1:00 – 1:30 p.m.

### Registration

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1:35 – 1:40 p.m.

### Welcome and Introduction

Presented by **J. Matthew Mangan**, K&L Gates

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1:40 – 2:25 p.m.

### The SEC's Rulemaking Agenda – Bracing for New Rules

*Speakers:* **Ruth E. Delaney**, K&L Gates; **J. Matthew Mangan**, K&L Gates;  
**Keri E. Riemer**, K&L Gates

This session will include:

- Proposed Regulations
    - Private Fund Regulation
    - Form PF
    - Cybersecurity
    - ESG Rule
  - The New Marketing Rule
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2:30 – 3:15 p.m.

### SEC Enforcement Priorities and Trends

*Speakers:* **J. Matthew Mangan**, K&L Gates; **Keri E. Riemer**, K&L Gates

This session will include:

- 2022 Enforcement Priorities
  - Summary of Key Enforcement Cases
  - Digital Assets and the SEC's Enforcement Posture
  - State/Federal Investigations
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3:15 – 3:30 p.m.

### Break

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3:35 – 4:20 p.m.

### ESG – Diversity, Equity, and Inclusion Panel Discussion

*Speakers:* **Sasha Burstein**, K&L Gates; **Andrew Collins**, San Francisco's Employees' Retirement System (SFERS); **Tathata (Ta) Lohachitkul**, Albourne Partners; **Margaret A. Niles**, K&L Gates; **Isela Rosales**, Bridge Investment Group

This session will include:

- DEI in Asset Management
  - Challenges of Gathering Data and Sharing Results
    - ILPA DDQ and Diversity Metrix
  - What trends are we seeing in the industry
  - ESG – Investor's Role
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4:25 – 5:10 p.m.

## Private Funds Hot Topics: New Product Trends

*Speakers:* **Sasha Burstein**, K&L Gates; **Andrew J. Feucht**, K&L Gates; **Martha Heitmann**, LGT Capital Partners

This session will include:

- Continuation Funds
- Evergreen Structures
- Credit Funds
- New Platforms and Investors
- Outsourced CIO arrangements

5:15 – 6:30 p.m.

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## Cocktail Reception

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