

Thursday, November 17, 2022  
12:15 – 5:30 p.m. PT

## 2022 K&L GATES SEATTLE ASSET MANAGEMENT AND INVESTMENT FUNDS CONFERENCE

---

12:15 – 12:55 p.m. **Registration and Light Lunch**

---

12:55 – 1:00 p.m. **Welcome and Introduction**

---

1:00 – 1:45 p.m. **The SEC's Rulemaking Agenda – Preparing for New Rules**

*Speakers:* **Ruth E. Delaney**, K&L Gates; **J. Matthew Mangan**, K&L Gates;  
**Keri E. Riemer**, K&L Gates

This session will include:

- Adapting to New Rules and Implementation of Proposed Regulations
  - Private Fund Regulation
  - Form PF
  - Cybersecurity
  - ESG Rule
- The New Marketing Rule

---

1:45 – 2:30 p.m. **SEC Enforcement Priorities and Trends**

*Speakers:* **J. Matthew Mangan**, K&L Gates; **Keri E. Riemer**, K&L Gates

This session will include:

- 2022 Enforcement Priorities
- Summary of Key Enforcement Cases
- Digital Assets and the SEC's Enforcement Posture
- State/Federal Investigations

---

2:35 – 2:50 p.m. **Break**

---

2:55 – 3:40 p.m. **ESG – Diversity, Equity, and Inclusion Panel Discussion**

*Moderator:* **Andrew J. Feucht, III**, K&L Gates

*Speakers:* **Kimfer Flanery-Rye**, MyKimisms; **Ami R. Jani**, K&L Gates; **Charlie Lieu**,  
Mastersfund; **Margaret A. Niles**, K&L Gates; **Vani Rao**, Mastersfund

This session will include:

- DEI in Asset Management
- What Trends Are We Seeing in the Industry
  - Allocators Looking for Diverse Firms
  - DEI Investment Opportunity
- Investor's Role
  - Finding Diverse Teams
  - ILPA DEI Metrics

Thursday, November 17, 2022  
12:15 – 5:30 p.m. PT

3:45 – 4:30 p.m.

**Private Funds Trends**

*Moderator:* **Mark T. Heine**, K&L Gates

*Speakers:* **Sasha Burstein**, K&L Gates; **Andrew J. Feucht, III**, K&L Gates; and **Michael Nelson II**, Washington State Investment Board

This session will include:

- Continuation Funds
- Evergreen Structures
- NAV Borrowing Facilities
- Outsourced CIO Arrangements

---

4:30 – 5:30 p.m.

**Cocktail Reception prior to start of SAIA**

---