

## K&L GATES FIFTEENTH ANNUAL INVESTMENT MANAGEMENT CONFERENCE 2021

*Key Global Legal and Regulatory Issues for Investment Managers and Funds*

09:00—9:30	<b>Registration and Breakfast</b>
09:30—9:40	<b>Introduction and Opening Remarks</b> <ul style="list-style-type: none"><li>Michelle Moran, Event Chair, Partner - Practice Group Coordinator of the Global Asset Management and Investment Funds Practice, K&amp;L Gates, London</li></ul>
09:40—10:00	<b>Charting the New Terrain: COVID, Brexit &amp; Climate Change</b> <ul style="list-style-type: none"><li>Chris Cummings, Chief Executive Officer, The Investment Association</li></ul>
10:00—10:45	<b>Session I: Diminishing Returns: Regulator Initiatives to Deliver Value to Investors</b> <i>Moderated by Andrew Massey, Partner, K&amp;L Gates, London</i> <ul style="list-style-type: none"><li>Greg Dulski, Chair, Senior Vice President and General Counsel, Federated Hermes (UK) LLP</li><li>Todd Gibson, Partner, K&amp;L Gates, Boston and Pittsburgh</li><li>Daniel Knight, Partner, K&amp;L Gates, Melbourne</li><li>Giles Swan, Director of Global Funds Policy, ICI Global</li><li>Guy Sears, Non-Executive Chair, Marlborough Fund Managers</li></ul>
10:45—11:15	<b>Break</b>
11:15—12:00	<b>Session II: With the Increased Demands for Alternative Assets, is Private Equity the Answer?</b> <i>Moderated by Daniel Greenaway, Partner, K&amp;L Gates, London</i> <ul style="list-style-type: none"><li>Nicoletta Zappatini, Managing Director, StormHarbour Securities</li><li>Scott Peterman, Partner, K&amp;L Gates, Hong Kong</li><li>Giovanni Meschia, Partner, K&amp;L Gates, Milan</li><li>Ed Dartley, Partner, K&amp;L Gates, New York</li></ul>
12:00—13:00	<b>Lunch</b>
13:00—13:20	<b>Hedge Funds Today and to Stay</b> <ul style="list-style-type: none"><li>Jack Inglis, Chief Executive Officer, AIMA</li></ul>
13:20—14:05	<b>Session III: The New World Order in Investment Management</b> <i>Moderated by Philip Morgan, Partner, K&amp;L Gates, London</i> <ul style="list-style-type: none"><li>Hilger von Livonius, Partner, K&amp;L Gates, Munich</li><li>Philip Reed, General Counsel, Independent Franchise Partners</li><li>Gail Bernstein, General Counsel, Investment Adviser Association</li><li>Chloe Duan, Partner, K&amp;L Gates, Shanghai</li><li>Emma MacDowall, Head of Regulatory Developments, Global Center of Expertise Managing Director/AVP, Manulife Asset Management Europe limited</li><li>Edward Bennett, Partner, K&amp;L Gates, Singapore</li></ul>

14:05—14:30	<b>Break</b>
14:30 - 14:45	<b>ESG Regulatory Evolution</b> <ul style="list-style-type: none"> <li>• Kay Swinburne, Vice Chair of Financial Services, KPMG</li> </ul>
14:45—15:30	<b>Session IV: Embracing ESG as the Future</b> <i>Moderated by Joanna Treacy, Special Counsel, K&amp;L Gates, London</i> <ul style="list-style-type: none"> <li>• Jim Bulling, Partner, K&amp;L Gates, Melbourne</li> <li>• Dr Michael Viehs, Director, Head of ESG Integration International, Hermes Federated Investors (UK) LLP</li> <li>• Kay Swinburne, Vice Chair of Financial Services, KPMG</li> <li>• Mike McGrath, Partner, K&amp;L Gates, Boston</li> <li>• Tara Newbury, Assistant General Counsel, Head of Legal EMEA, Northern Trust</li> <li>• Yuki Sako, Partner, K&amp;L Gates, Tokyo</li> </ul>
15:30—15:40	<b>Enforcement Update</b> <ul style="list-style-type: none"> <li>• Michael Ruck, Partner, K&amp;L Gates, London</li> </ul>
15:40—16:00	<b>Break</b>
16:00 —16:30	<b>Fireside Chat - Regulators</b> <i>Moderated by Michelle Moran, Partner - Practice Group Coordinator of the Global Asset Management and Investment Funds Practice, K&amp;L Gates, London</i> <ul style="list-style-type: none"> <li>• Laurent Van Burik, Head of Unit Investment Funds - Regulation, International and Enforcement</li> <li>• Gabriela Figueiredo Dias, Chair of CMVM &amp; Member of the Board of Supervisors ESMA</li> </ul>
16:30—16:50	<b>Break</b>
16:50—17:25	<b>Session V: Shake Up in Active Management; is the Growth of ETFs Unstoppable?</b> <i>Moderated by Peter Shea, Partner, K&amp;L Gates, New York</i> <ul style="list-style-type: none"> <li>• Deborah Fuhr, Founder, ETFGI</li> <li>• Sam Patel, General Counsel, Hanetf</li> </ul>
17:25—17:30	<b>Closing Remarks - Final Thoughts</b> Michelle Moran, Partner - Practice Group Coordinator of the Global Asset Management and Investment Funds Practice, K&L Gates, London
17:30	<b>Drinks Reception</b>